

News Articles

Dear Reader,

NSDL wishes 'You and Your Family' a Happy, Healthy and Wealthy New Year. NSDL has always been at the forefront of leading digital initiatives for the Indian Capital Markets. Riding on the new technology initiatives getting unfolded, we look forward to enhance our robust relationship. We will be happy to explore new opportunities to grow together.

33rd series of NSDL DP Conference was held in Ahmedabad, Chennai, Delhi, Kolkata and Mumbai recently. All the conferences were hugely successful due to your participation. 6th edition of NSDL Star Performer Awards ceremony was also held during the conference. List of Participants which won awards in different categories, is given below -

NSDL Star Performer Awards 2018 - Winners

Alankit Assignments Limited	NKGSB Co-op. Bank Limited
Allahabad Bank	Oriental Bank of Commerce
Arihant Capital Markets Limited	Ortem Securities Limited
Ashika Stock Broking Limited	Patel Wealth Advisors Private Limited
Axis Bank Limited	Punjab National Bank
BMA Wealth Creators Limited	R. K. Global Shares and Securities Limited
Centillion Capital Private Limited	Religare Broking Limited
Cholamandalam Securities Limited	SBICAP Securities Limited
Eastern Financiers Limited	Shah Investor's Home Limited
Eureka Stock & Share Broking Services Limited	Sharekhan Limited
Geojit Financial Services Limited	Shree Bahubali Stock Broking Limited
Globe Capital Market Limited	Shri Parasram Holdings Private Limited
Goldmine Stocks Private Limited	SMC Global Securities Limited
HDFC Bank Limited	South Asian Stocks Limited
ICICI Bank Limited	SS Corporate Securities Limited
IDBI Bank Limited	Stewart & Mackertich Wealth Management Limited

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Take a look

News Articles

Companies Name Change








Corporate Action Statistics

Performance Summary

Securities Admitted For Dematerialisation

Forthcoming Compliance

Performance Summary*

	Investor Accounts	1,80,86,414
	Participants	276
	Participant Service Centres	30,738
	Demat Custody	₹ 178.12 Lakh Crore
	Settlement	₹ 2.39 Lakh Crore
	Companies available for demat	22,832
	Instruments	
	◆ Certificates of Deposit	426
	◆ Commercial Papers	1,959
	◆ Debentures/Bonds	14,943
	◆ Securitised Instruments	2,455

* Information as on December 31, 2018

NSDL Star Performer Awards 2018 - Winners (contd.)

IFCI Financial Services Limited	Stock Holding Corporation of India Limited
IIFL Securities Limited	SVS Securities Private Limited
IIFL Wealth Management Limited	Swastika Investmart Limited
Integrated Enterprises (India) Private Limited	The Cosmos Co-Operative Bank Limited
Karvy Stock Broking Limited	The Federal Bank Limited
Kotak Securities Limited	The Kalapur Commercial Co-Operative Bank Limited
Lohia Securities Limited	The Karur Vysya Bank Limited
Marwadi Shares & Finance Limited	The Lakshmi Vilas Bank Limited
Master Capital Services Limited	The Mehsana Urban Co-Operative Bank Limited
Matalia Stock Broking Private Limited	The Saraswat Co-Operative Bank Limited
Narnolia Financial Advisors Limited	United Bank of India
Navia Markets Limited	Ventura Securities Limited
Nirmal Bang Securities Private Limited	Zen Securities Limited

Names are given in alphabetical order.

Team NSDL congratulates all winners once again. As **Abraham Lincoln** said “**Some achieve great success, is proof to all that others can achieve it as well**”, we trust others will try to achieve the same in years to come. Select photographs of these events have been placed at <https://nsdl.co.in/photogallery.php> for you to view as per your convenience.

**Best Wishes,
Team - NSDL**

NSDL Star Performer Awards 2018 - Winners


Change of name in the name of demat account holder

An individual holding a demat account may change his / her name in demat account after submitting the supporting documents required along with the written request.

- a. In case of the minor corrections (such as spelling correction, abbreviation or expansion of initials), self-attested copy of any proof of identity document like PAN card, Passport, Voter's identity card, Aadhaar card, driving license, NREGA card, containing the correct name is required. Account holder should also provide a confirmation that it is not a change in name of the individual for any reason including due to marriage, divorce, court order, etc. In case, an individual changes the spelling of its name for any reason including for numerology, etc., it will be considered as a change in name, and not a correction in name.
- b. In other cases of the change or correction in name of individual demat account holder, following documents are required –

Name change on account of marriage	Name change on account of reasons other than marriage
Marriage Certificate or copy of Passport showing husband's name or publication of name change in official gazette.	Publication of name change in official gazette.

For residents of Karnataka and Punjab, where publication of name change in official gazette may not be available, following documents may be provided –

- i. Sworn affidavit executed before the Notary Public/ Magistrate of First Class/ Executive Magistrate mentioning the reason for change of name and his/her complete address,
- ii. Paper publication in one local newspaper and one national newspaper, and
- iii. KYC in changed name.

Account holder should submit a self-attested copy of above document along with original for verification. While recording the name change in the demat account in the DPM, Participants may select the reason for change in name as "Other than marriage".

Reference: Circular No. NSDL/POLICY/2019/0002 dated January 8, 2019 and NSDL/POLICY/2018/0067 dated November 22, 2018, available on NSDL website: www.nsdl.co.in

Simplification of Client on-boarding process for SPEED-e

Client on-boarding process for SPEED-e facility has been simplified by removing the requirement of agreement between Participant and client. SPEED-e Application Form has been modified for this purpose.

Reference: Circular No. NSDL/POLICY/2019/0001 dated January 4, 2019, available on NSDL website: www.nsdl.co.in

Submission of Signature Variation Form in case of variation in signature recorded with Issuer and Participant

In case the signature of the client recorded with the Issuer varies with the signature of the client as recorded with the Participant, the client may submit to the Participant a Signature Variation Form as per Form 42 along with the Dematerialisation Request Form. This will help in reducing the cases where demat requests are rejected by issuer companies / RTAs on account of signature mismatch.

Reference: Circular No. NSDL/POLICY/2018/0074 dated December 31, 2018, available on NSDL website: www.nsdl.co.in

Additional telephone number for NSDL Helpdesk

To facilitate better accessibility of Helpdesk for NSDL business Partners, two new telephone numbers have been added - 022-24997000 and 022 - 48867000. These are in addition to existing numbers 022-24994601 to 4610.

Reference: Circular No. NSDL/POLICY/2018/0073 dated December 27, 2018, available on NSDL website: www.nsd.co.in

Cyber security and cyber resilience framework for Participants

Rapid technological developments in securities market have highlighted the need for maintaining robust cyber security and cyber resilience framework to protect the integrity of data and guard against breaches of privacy. Considering that depository participants perform significant functions in providing services to holders of securities, SEBI has prescribed that these entities have robust cyber security and cyber resilience framework in order to provide essential facilities and perform systemically critical functions relating to securities market. All the Participants are requested to take note and ensure compliance.

Reference: Circular No. NSDL/POLICY/2018/0069 dated December 06, 2018, available on NSDL website: www.nsd.co.in

Training Programmes for Participants:**➤ CPE Training Programme for Participants**

NSDL, a NISM accredited Continuing Professional Education (CPE) Provider offers CPE training programmes in different modules for eligible associated persons. In December 2018, NSDL conducted seven such training programmes at Ahmedabad, Chennai, Kolkata, Mumbai and New Delhi.

➤ Training Programme for Issuers and Registrar & Transfer Agents

NSDL conducted a two days training programme for Issuers and Registrar & Transfer Agents at Mumbai in December 2018.

➤ KYC requirements for Account Opening for Participants

NSDL conducted three compliance oriented training programs for Participants to understand the KYC compliance requirements at Mumbai in December 2018.

Investor Education initiatives undertaken by NSDL

NSDL conducts Invester Awareness Programmes (IAPs) throughout the country to ensure investors are aware of different aspects of investing. Till date, NSDL has conducted over 3,300 programmes which have been attended by more than 3.23 Lakh investors. Feedback received from investors during these IAPs is extremely encouraging. While schedule of these programs is published online at <https://nsdl.co.in/Investor-Awareness-Programmes.php>, we shall be happy to conduct IAPs for your organization / institute / society. Help us in driving the investor education initiative further by writing to us at info@nsdl.co.in about such programmes to be conducted.

More the education, more the prudence.

Admission to these programmes is free for all investors.



List of Companies which have changed Name during December 2018

Sr. No.	Old Name	New Name
1	Adi Rasayan Limited	Generic Pharmasec Limited
2	Alight HR Services India Private Limited	Wipro HR Services India Private Limited
3	Angel Broking Private Limited	Angel Broking Limited
4	Apex Home Finance Limited	Apex Capital and Finance Limited
5	Baroda Pioneer Mutual Fund	Baroda Mutual Fund
6	Bhushan Steel Limited	Tata Steel BSL Limited
7	Chembond Solenis Water Technologies Limited	Chembond Water Technologies Limited
8	Destimoney Securities Private Limited	Dealmoney Securities Private Limited
9	DSP Blackrock Investment Managers Private Limited	DSP Investment Managers Private Limited
10	DSP Blackrock Pension Fund Managers Private Limited	DSP Pension Fund Managers Private Limited
11	DSP Blackrock Trustee Company Private Limited	DSP Trustee Private Limited
12	Essar Power Salaya Limited	Bhagwat Power Salaya Limited
13	Essar Steel Jharkhand Limited	Essar Steel Metal Trading Limited
14	Essel Finance VKC Forex Limited	Essel Forex Limited
15	Exposure Media Marketing Private Limited	Burda Media India Private Limited
16	Golden Goenka Fincorp Limited	U.Y. Fincorp Limited
17	Hopewell Tableware Limited	Borosil Limited
18	Industrial Cluster Private Limited	Mahindra Industrial Park Private Limited
19	Jayatma Spinners Limited	Jayatma Enterprises Limited
20	L & T Western India Tollbridge Limited	LTIDPL Indvit Services Limited
21	Lotus Roofings Private Limited	Lotus Roofings Limited
22	Manappuram Home Finance Private Limited	Manappuram Home Finance Limited
23	MEDRC Edutech Limited	Medisys Edutech Private Limited
24	Pushpanjali Floriculture Limited	Trescon Limited
25	Ramprastha Sare Realty Private Limited	Sare Gurugram Private Limited
26	Reliance Mediaworks Entertainment Services Limited	Gener8 India Media Services Limited
27	Ruia Aquaculture Farms Limited	Datiware Maritime Infra Limited
28	Sarda Plywood Industries Limited	Duroply Industries Limited
29	Shree Sponge Steel & Forging Limited	Shree Sponge Steel And Casting Limited
30	Tata Sons Limited	Tata Sons Private Limited
31	Utkarsh Micro Finance Limited	Utkarsh Coreinvest Limited

Corporate Action Statistics

Sr. No.	Description	During December 2018		For F.Y. 2018 - 19 (Upto December 2018)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Alternative Investment Fund	0	0	8	10,00,000
2	Bonds	10,009	40,77,66,509	1,97,568	3,43,53,92,164
3	Certificates of Deposit	412	75,59,400	1,999	4,07,19,053
4	Commercial Papers	776	41,58,733	8,095	4,00,69,511
5	Equity Shares	2,94,591	6,44,34,66,665	72,63,530	1,38,67,51,41,363
6	Government Securities	513	15,22,325	15,847	58,79,021
7	Infrastructure Investment Trust	0	0	24	47,26,81,646
8	Mutual Fund Units	1,29,253	6,95,10,87,458	6,32,202	12,28,94,82,310
9	Mutual Fund Units (Trase)	85,308	28,10,52,448	8,26,369	3,24,72,87,932
10	Preference Shares	346	76,32,88,490	4,230	5,41,80,87,913
11	Securitized Instruments	327	23,28,53,90,672	1,479	1,43,58,38,45,286
12	Warrants	17	99,02,725	189	28,53,56,074
	Total	5,21,552	38,15,51,95,425	89,51,540	3,07,49,49,42,273

Performance Summary

INVESTORS

Month -Year	Client Accounts
	No. of Accounts (Lakh)
Dec-16	152.52
Dec-17	167.07
Dec-18	180.86

PARTICIPANTS

Month -Year	No. of Participants	Service Centres	Cities / Towns
Dec-16	267	27,342	1,944
Dec-17	268	28,005	1,937
Dec-18	276	30,738	1,934

DEMATERIALISATION

Month -Year	No. of Dematerialised Securities (In Crore)	Value of Dematerialised Securities (In ₹ Crore)
Dec-16	1,23,305	1,29,97,457
Dec-17	1,43,932	1,76,74,693
Dec-18	1,67,690	1,78,12,044

SETTLEMENT (LISTED EQUITY SHARES)

Month -Year	Quantity of shares settled in dematerialised form (In Crore)	Value of shares settled in dematerialised form (In ₹ Crore)
Dec-16	716	1,70,448
Dec-17	1,234	3,50,657
Dec-18	920	2,39,440

(Single Side)

EQUITY

Companies

Month -Year	No. of Companies Operational
Dec-16	17,084
Dec-17	19,313
Dec-18	22,832

DEBENTURES / BONDS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Dec-16	1,580	14,451	23,13,099
Dec-17	1,935	15,546	27,24,650
Dec-18	2,101	14,943	29,34,385

SECURITISED INSTRUMENTS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Dec-16	1,452	1,882	1,65,359
Dec-17	1,690	2,105	1,88,306
Dec-18	1,966	2,455	2,29,673

COMMERCIAL PAPERS

Month -Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Dec-16	405	2,367	4,95,242
Dec-17	383	2,207	4,22,689
Dec-18	332	1,959	5,36,728

CERTIFICATES OF DEPOSIT

Month -Year	No. of Issuers	No. of active instruments	Demat Value (In ₹ Crore)
Dec-16	33	509	1,79,797
Dec-17	26	318	1,41,868
Dec-18	32	426	2,25,719

Securities admitted for dematerialisation during December 2018

To view the list of securities that have been admitted for dematerialisation during December 2018, kindly visit https://nsdl.co.in/securities_admitted_for_dematerialisation.php

Forthcoming Investor Awareness Programmes

Sr. No.	Date	Venue	City	State	Timing
1	01-Feb-19	Hotel Park Plaza, Ferozpur Road, Gurdev Nagar, Ludhiana – 141001, Punjab	Ludhiana	Punjab	07.00 p.m. onwards
2	01-Feb-19	Hotel Sheela Tower, Veer Surendra Sai Marg, Sakhipara, Sambalpur, Odisha	Sambalpur	Odisha	05.30 p.m. onwards
3	02-Feb-19	"Hotel Windsor Castle, Kodimatha Bridge, Kottayam – 686013, Kerala	Kottayam	Kerala	04.00 p.m. onwards
4	08-Feb-19	"Hotel Zaika, Mahendra Park Chowk, Rani Bagh, Delhi – 110034	Delhi	Delhi	06.00 p.m. onwards
5	09-Feb-19	Hotel Sitara, beside virupakshi gardens, Karimnagar Rd, Jagtial, Jagtial – 505327, Telangana	Jagtial	Telangana	06.00 p.m. onwards
6	21-Feb-19	Hotel Flora Inn, Plot No.2164, Somalwada - Besa Rd, Rajiv Nagar, Satpute Layout, Nagpur – 440025, Maharashtra	Nagpur	Maharashtra	06.00 p.m. onwards
7	23-Feb-19	Bastar Chamber of Commerce and Industry Jagdalpur, ""Jagdalpur Traders, Kewramundaward, Jagdalpur – 494001, Chhattisgarh	Jagdalpur	Chhattisgarh	11.00 a.m. onwards
8	24-Feb-19	New Dharmshala, Danteshwari Temple Road, Dantewada – 494449, Chhattisgarh	Dantewada	Chhattisgarh	11.00 a.m. onwards
9	25-Feb-19	Chartola Club, Chartola Club Road, Malanjkhanda – 481116, Madhya Pradesh	Malanjkhanda	Madhya Pradesh	11.00 a.m. onwards

- Admission is free for all investors.
- Schedule is subject to change. Please visit <https://nsdl.co.in/Investor-Awareness-Programmes.php> for updated schedule.

Forthcoming CPE Training Programmes for Participants *

Sr. No.	Date of Training	Location of Training
1	February 2, 2019	Pune
2	February 2, 2019	Hyderabad
3	February 23, 2019	Mumbai
4	February 23, 2019	Ahmedabad
5	February 23, 2019	New Delhi

Sr. No.	Date of Training	Location of Training
6	February 23, 2019	Kolkata
7	March 16, 2019	Kolkata
8	March 16, 2019	Mumbai
9	March 16, 2019	Ahmedabad

* Schedule is subject to change.



Forthcoming Compliance

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/ POLICY/2015/0096 dated October 29, 2015
Compliance Certificate (July - December)	January 31st every year.	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	<ul style="list-style-type: none"> Circular No. NSDL/ POLICY/2010/0017 dated February 22, 2010 Circular No. NSDL/ POLICY/2018/0028 dated May 21, 2018

NSDL Offices

Head Office

Mumbai 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013
Tel.: (022) 24994200

Branch Offices

Ahmedabad Unit No. 407, 4 th floor, 3 rd Eye One Commercial Complex Co-operative Society Limited, C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel.: (079) 26461376	Bengaluru Office No. 106, DBS house 26, Cunningham Road, Bengaluru - 560052 Tel.: (080) 40407106	Chennai 6A, 6 th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel.: (044) 28143911, 28143917	Hyderabad Office No. 123, Hyderabad Regus Mid-Town, 1 st Floor, Mid Town Plaza, Road No. 1, Banjara Hills, Hyderabad - 500033 Tel.: (040) 44334178
Kochi Suite No. S – 105, Monlash Business Center, 4 th Floor, Crescens Tower, NH 47, Changampuzha Nagar Post, Kochi - 682033 Tel.: (0484) 2933075	Kolkata Unit 2E, 2 nd Floor, The Millenium, 235/2A, A.J.C. Bose Road, Kolkata - 700020 Tel.: (033) 22904243, 22904246	New Delhi Unit No. 601, 603, 604, 6 th Floor, Tower-A, Naurang House, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001 Tel.: (011) 23353814, 23353815	

For more information, email us at info@nsdl.co.in